OFE 420,000 PE 420,000

THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re the Application of:<br>Raj Durairaj         | Confirmation: 8988  Group Art Unit: 1713 |
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| Serial No.: 09/742,587                            | Examiner: Peter D. Mulcahy               |
| Filed: 12-21-2000                                 | )<br>) Customer No.: 65534               |
| For: RESORCINOLIC DERIVATIVE FOR RUBBER COMPOUNDS | )<br>)<br>)                              |
|   |  |

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in the Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached Form PTO/SB/08A, and copies of the cited documents are enclosed for the convenience of the Examiner. Applicant respectfully requests that a copy of the Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

The items identified in the IDS may or may not be "material" pursuant to CFR § 1.56. The submission thereof by Applicant is not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR §1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

In accordance with 37 CFR 1.97(g), the filing of the Information Disclosure Statement shall not be construed to mean that a search has been made or the no other material information, as defined in 37 CFR 1.56, exists.

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## CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

| October 6, 2006 | <u>-1</u> |
|-----------------|-----------|
| Date of Deposit |           |

Cecilia McCloyn

## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

| This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office Action on the merits; or (4) before the mailing of a first Office Action after filing a request for continued examination under § 1.114. Thus, no fee is required. |
|---|
| However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1,97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.  |
| However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.  |
| This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office Action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.   |
| The fee due under 37 CFR § 1.17(p) is submitted herewith.   |
| A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received for a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.  |
| This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1,17(p) is submitted herewith.   |
| STATEMENT UNDER 37 CFR § 1.97(e):   |
| Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.  |
| No item contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three moths prior to the filing of this IDS.   |

## **PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

| A che               | eck in the amount of | is enclosed for the above fee(s).   |
|---------------------|----------------------|---|
| Please              | e charge \$          | to Deposit Account No.50-1432 for the above fee(s).   |
| <del></del>         |                      | rized to charge any fees required by the filing of these papers, ones Day's Deposit Account No. 50-1432.                          |
| Dated: <u>Octob</u> | per 6, 2006          | By: Demonstrated,  By: Demonstrated Augustian Bai Reg. No. 43,481  JONES DAY 717 Texas Avenue, Suite 3300  Houston, TX 77002-2712 |
|                     |                      | (832) 239-3816  |

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1909 required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** OCT 1 0 2006 09742587 Filing Date 10/06/2006 INFORMATION ISCLOSURE First Named Inventor Raj Durairaj STATEMENT BY Art Unit 1713 (Use as many sheets as ne **Examiner Name** Peter D. Mulcahy

Attorney Docket Number

|                                       |                          |  | U. S. PATENT                   | DOCUMENTS  |   |
|---------------------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials*                 | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                       | -                        | US- 4745145 D1   | May 17 1000                    | Schonfold at al                                    | 3   |
|                                       |                          | 4/45145 D1   | May 17, 1988                   |  |   |
|                                       |                          | <sup>US-</sup> 4265833 D2                                | May 5, 1981                    | Werner et al                                       |   |
|                                       |                          | US-  | _                              |  |   |
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| FOREIGN PATENT DOCUMENTS |              |   |   |  |   |                |
|--------------------------|--------------|---|---|--|---|----------------|
|                          | Cite<br>No.1 | Foreign Patent Document   | Patent Document Publication Na Date Applic MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear |                |
|                          |              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) |   |  |   | T <sup>6</sup> |
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| Examiner  | Date       |   |
|-----------|------------|---|
| Signature | Considered | 1 |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.